# Guidelines for Certification of Vapour Heat Treatment Facilities for Fresh Fruits & Vegetables

Government of India
Ministry of Agriculture
(Department of Agriculture & Cooperation)

**Directorate of Plant Protection, Quarantine & Storage** 

N.H.IV, Faridabad-121001 Haryana (State)

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#### **Endorsement:**

This standard entitled 'Guidelines for Certification of Vapour Heat Treatment Facilities for fresh fruits and vegetables' has been prepared by the Directorate of Plant Protection, Quarantine & Storage (Dte of PPQ&S), Faridabad-121001. This standard describes the guidelines/procedures for certification of vapour heat treatment facilities for treating fresh tropical fruits and vegetables against fruit flies (Tephritidae).

This standard was duly approved for adoption on \_\_\_\_\_ by

(P.S. Chandurkar)
Plant Protection Adviser
Dte of PPQ&S
Faridabad-121001.

#### **Review & Amendment**

This standard is subject to periodic review by the Plant Protection Adviser and amendment would be updated and revised as necessary. The standard holders should ensure that the current edition of the standard being used.

#### **Control & Distribution of the standard**

PPA will hold the master copy of this standard. JD (PQ) will distribute the controlled copy of this standard to the officers of Plant Quarantine Stations listed below and to any other person specifically authorized by PPA. The holder of controlled copy must ensure that only current copy of the standard will be used. Any enquiries regarding this standard should be made to the PPA, Dte of PPQS, Faridabad-121001.

Controlled Copy Holder	Copy No.
JD (PQ), Dte of Plant Protection, Quarantine & Storage, N.H.IV., Faridabad-121001	1
PQ Division, Dte of Plant Protection, Quarantine & Storage, N.H.IV., Faridabad	2
Dy Director (PP/Ent), In-charge of National Plant Quarantine Station, Rangapuri, New Delhi-110037	3/4
Dy Director (Ent.), In-charge of Regional Plant Quarantine Station, Amritsar	5
Dy. Director (Ent./PP), In-charge of Regional Plant Quarantine Station, Kolkata	6
Dy. Director (Ent/PP), In-charge of Regional Plant Quarantine Station, Chennai	7/8
Dy Director (PP/Ent.), In-charge of Regional Plant Quarantine Station, Mumbai	9

#### Introduction

#### Scope

This document provides guidance for certifying vapour heat treatment facilities for treating fresh tropical fruits and vegetable against fruit flies belonging to the order Tephritidae

#### References

APPPC. 2003. Guidelines for the Development of Heat Disinfestation Treatment of Fruit Fly Commodities, FAO., RAP., Bangkok, Thailand. USDA-APHIS. 2002. PPO Treatment Manual, USA.

#### **Outline Requirements:**

This standard prescribes the guidelines/procedures for certification of vapour heat treatment facilities for fresh tropical fruits & vegetables against fruit flies. This treatment uses heated air which is saturated with water vapor to raise the temperature of the commodity to a required point and holds the temperature for a specified period. The latent heat released by the condensation of the vapor on the commodity raises the pulp temperature quickly and evenly and thus prevents damage. In application, a fine mist and air under forced circulation is present with the saturated vapor. This standard describes the authority to approve the facilities, application procedures for certification, minimum requirements of the facility, preliminary performance testing and official performance testing and certification of facility.

#### 1.0. General Requirements

#### 1.1. Authority to approve the facility

Treatment units and facilities are required to be approved or certified by the NPPO before any treatment commences in accordance with RSPM No. 1. *Guidelines for the development of heat disinfestations treatments for fruit fly commodities*. The Plant Protection Adviser to the Government of India (PPA), Directorate of Plant Protection,, Quarantine & Storage, N.H.IV., shall be the competent authority to approve and certify the vapour heat treatment (VHT) facilities for fresh tropical fruits & vegetables against fruit flies (Tephritidae). No such approval is required for the vapour heat treatment facilities that are established for research/experimental purpose, but however, such facilities must meet the minimum requirements specified in this standard and subject to verification and auditing by PPA.

#### 1.2. Nomination of Experts for Official Inspection

PPA will nominate an expert, who has sufficient knowledge and experience of operation of VHT facilities, for inspection/performance testing/verification of facilities for certification in association with a PQ officer. The nominated expert in association with a PQ officer will inspect/verify the facilities and carry out official performance testing before making necessary recommendations for approval and certification.

#### 1.3. Responsibilities of Approved Facility

The certified facility is responsible:

- to carry out all treatment operations through a qualified operator
- to report to the PPA of any out of service of the facility for 10 days or more on account of any mechanical and electrical failures or annual maintenance checks or any lay-offs
- to maintain the equipments in good working conditions and periodical calibration of temperature sensors, control instruments and recorders
- to maintain proper records of all treatment operations carried out at the facility including the data logs or temperature record sheets or diskettes
- to ensure that all the vapour heat treatments of fresh fruits and vegetables carried out strictly in accordance with the approved protocol specified by PPA or the NPPO of importing country, as the case may be.
- to abide by the instructions and guidelines issued by the PPA from time to time and extend all cooperation to the inspecting PQ officers for carrying out performance tests and audit checks.

#### 1.4. Location, Construction & Design of facility

The location, construction and design of the facility should be as per the safety norms and standards prescribed by the concerned local authority and as per approved engineering design and plans. The facility may be integrated with package house for easy of operations. The treatment facilities should be located in a secure area to prevent re-infestation of treated fruits.

#### 1.5. Prior Approval of the Plans & Drawing of the Facility:

A prior approval of the plans and specifications showing dimensions, air circulation, and other specifications of the heating and temperature recording systems by PPA shall be required in the case of new facilities to ensure that the minimum requirements prescribed in this standard are met with. For this purpose, the owner of proposed facility will send an application enclosing therewith the plans and specifications showing the above to PPA for necessary consideration. After preliminary scruitinization of the plans and specifications, an on-site survey will be conducted by the expert nominated by PPA to compare the installation to the approved plans; to check the air and water vapor circulation system, and to check the calibration of the temperature monitoring system. However, the above condition shall not be applicable for the vapour heat treatment facilities that have been established prior to adoption of this standard but those facilities must meet the minimum requirements of this standard. Any proposed changes or improvements in the existing facility shall be made only with the written approval of the Plant Protection Adviser.

#### 1.6. Minimum Requirements of facility

The minimum requirements for approval or certification of facility shall include:

• location of treatment facility in secured area to prevent reinfestation of treated fruits

- assured supply of good quality and potable water
- assured power supply and back up by diesel generator
- insect-proof screening of all openings to external area to prevent fruit fly entry
- hygienic handling of fruits during grading, packing &storage at the facility
- regular calibration of temperature sensors, temperature and humidity recording and pressure testing equipments and maintenance of calibration records
- adequate air and water vapour circulation system
- adequate boiler capacity to raise the chamber temperature to about 50-52<sup>o</sup>C so as to ensure the pulp temperature of 46-48<sup>o</sup>C within a ramp up time of 4 hours
- adequate number of portable/permanent temperature sensors to monitor the temperature of treatment
- temperature recording through an approved strip chart recorder or data logger
- adequate measures for disposal rotten fruits/fruit waste at the facility

#### 1.7. Treatment Schedules

The temperature-time relationship varies with the commodity and the pest involved. In case of treatment against fruit flies, the pulp temperature of the commodity will be raised by the saturated water vapor to 46-48 °C during a ramp up time of 4 hours and then the fruits will be held at the required temperature for a period of 30 min. The exposure periods and the treatment temperatures will vary with kind of fruit fly species and commodity involved. Where more than one fruit fly species is known to infest a commodity, laboratory experiments should be carried out with 100-1000 individuals for determining the most heat tolerant species. The small-scale trials should be carried out with 3000-5000 individuals to determine the most-heat tolerant stage of determined fruit fly species and this should be further confirmed by large scale treatment trials involving 30,000 populations of first instar larvae of the most heat tolerant fruit fly species, ensuing Probit 9 security level to prove the efficacy of treatment for commercial application

#### 1.8. Recognition of foreign treatment facilities

The foreign facilities that are required to be recognised by the PPA must meet the requirements of this standard. It shall be the responsibility of the NPPO of exporting country to provide a list of such approved facilities to the Plant Protection Adviser, Directorate of Plant Protection, Quarantine & Storage, N.H.IV., Faridabad-121001 for granting such recognition.

#### 2.0. Specific Requirements

#### 2.1. Registration of Application

An application for certification of VHT facility will be made in prescribed format (Appendix-I) to the PPA in duplicate along with the requisite information as per data sheet (Appendix-II) and the compliance agreement (Appendix-III). The application should be accompanied by a bank draft for Rs. 2000/- in case of new facility and for Rs.1000/ for renewal and should be drawn in favour of 'Accounts Officer, Dte of PPQS, Faridabad' towards registration fee. The application should be accompanied by the plans and specifications showing

dimensions, air circulation, and other specifications of the heating and temperature recording systems in case of a new facility and also whenever any alterations/modifications made to existing facility in case of approved facility. The applications received at PQ Division of Dte of PPQS will be registered. If the application is for renewal of certification of facility, it will be assigned with previous number and if it is a new a unique identification number will be assigned. The application will be scrutinized to ensure that the application is complete and correct and deficiencies if any will be communicated to the applicant. If the application found complete in all respects, PPA may order inspection of the facilities.

#### 2.2. Preliminary Performance Testing

If the facility has not previously been officially certified, the operator of the facility must conduct preliminary performance tests on his own, to verify that all equipments are in good working order. A checklist of minimum requirements of facility is given in Appendix-IV. By trial and error, the operator shall establish a tentative temperature set point for the VHT chamber, such that the fruit center temperature will reach the treatment temperature within a reasonable period of time. The operator shall do at least two trial treatments, with sensors placed in various parts of the load, to determine where the coolest spots occur. (For purposes of this test, the load in the VHT chamber must contain fruits). Further the operator of the facility should conduct a preliminary performance test at the beginning of each season under the direction of PPA, Dte of PPQS. At the end of preliminary performance test, the operator will forward the preliminary trials data along with his comments to PPA, Dte of PPQS, Faridabad as a evidence that the facility is ready for conducting official performance test.

#### 2.3. Official Performance Test for Certification

The official performance test will be carried out in three stages: (1) calibration of the portable sensors; (2) calibration of the permanent sensors installed in the VHT chamber; and (3) performance of an actual test treatment, which are as under:

#### 2.3.1. Calibration of the portable sensors

Each portable sensor used for calibration of the permanent sensors installed in the chamber should be calibrated by using a factory-calibrated, certified glass-mercury thermometer (readable in tenths of a degree) as the standard. The resulting readings from each portable sensor should be compared to the standard and any deviation should be recorded. For this purpose, a swirling hot water bath should be used (Calibration should be done at or near the required treatment temperature and not in an ice-water bath.), Each sensor should be identified with a unique number or letter before start of the test, and the correction factor for each one should be recorded. Any sensor that deviates by more than  $\pm 0.3^{\circ}$  C from the standard should not be used for calibration of permanent sensors. The number of portable sensors required during the test must be at least one half (1/2) of the number of permanent sensors required to be installed in the treatment chamber. The test results should be recorded in prescribed format (Appendix-V).

#### 2.3.2. Calibration of the permanent sensors

The calibration of permanent sensors that are installed in the chamber will be carried out in the same manner as that of calibrating the portable sensors. A portable sensor (with a "zero" correction factor) should be used instead of the certified glass thermometer as the standard against which the permanent sensors are compared. Both permanent and portable sensors must pass the same high standard of accuracy (.If cordless sensors are used, these are already factory-calibrated, and require no further calibration by the user). It is also permissible to substitute additional permanent sensors for portable sensors, provided that the temperature recorder is capable of monitoring them. The test results should be recorded in the format prescribed in Appendix-VI.

#### 2.3.3. Conduct of an actual test treatment

The inspecting officer/expert will insert the numbered portable and permanent sensors into the pulp of fruits for carrying out actual test treatment. The portable sensors should be placed especially in the load at the sites, where the coolest spots are most likely to occur.

. The inspecting officer/expert will draw a three-dimensional diagram showing where each numbered sensor has been placed. The operator should place the fruits into the VHT chamber, close the door, turn on the heat generator and start the automatic temperature recorder. The inspecting officer/expert must take readings on the portable sensors at least once every 5 minutes. He should note the ram up time i.e, time taken to reach the chamber temperature around 50-52 °C and the pulp temperature of 46-48 °C. The exposure period starts when all the pul sensors indicate the required treatment temperature and then holds it for the minimum amount of time required by the particular treatment schedule. He should review all temperature records from the portable as well as permanent sensors and record in format prescribed in Appendix-VII and recordings of pulp sensors (Appendix-VIII). One successful test is required, for certification or recertification. At the end the inspecting officer/expert will submit a official performance test report (Appendix-IX) along with his comments and recommendation for certification.

#### 2.4. Issuance of Certificate of Approval of Facility

PPA will issue a Certificate of Approval (Appendix-X) if satisfied with results of official performance testing.

#### 2.5. Frequency of performance testing

A new performance test shall be required (1) at least once a year (usually at the beginning of the each fruiting season), and (2) whenever the VHT chamber has been out of service for 10 days or more. In addition the VHT chamber's permanent sensors shall be recalibrated whenever sensors are replaced. Portable and permanent sensors shall not be placed in the same fruits, but may be in the same trays. Additional performance tests are not required for each type or size of fruit, nor when the operator wishes to vary the delivery air temperature, blower speed, or column height. This is because a successful treatment is based solely upon pulp temperature.

#### 2.6. Refusal of Certification/De-recognition of Facility

PPA may refuse the certification of the facility because of safety deficiencies at the plant or if in his opinion, the equipment installed does not confer the required level of accuracy. However in the event of refusal, no refund of registration fee will be made. PPA may de-recognize the certification granted to any facility, if the facility does not abide by the terms and conditions stipulated in the certificate or if it fails to carry out the appropriate treatments consistent with this standard or if it is involved in clandestine issue of treatment certificates without appropriate treatments or if it involves in maintenance of fraudulent records or in the event of receipt of notification of repeated non-compliances from the importing countries.

#### 2.7. Appeal & Revision

An aggrieved applicant/certified facility may appeal against the decision of PPA to the Joint Secretary (PP), Department of Agriculture & Cooperation, Ministry of Agriculture within a maximum period of seven working days of the communication of decision by PPA.

The memorandum of appeal should clearly set out the grounds for appeal. Joint Secretary (PP), shall acknowledge the receipt of the appeal within seven working days and endeavour to make a decision on the appeal in writing within 30 working days of the receipt of all available facts relating to the matter.

Joint Secretary (PP) may call for all the records relating to the decision from the PPA for the purpose of satisfying it self to the legality or propriety of any such decision passed by the PPA before any such order as it thinks fit shall be passed and before any such order is passed PPA shall be given a reasonable opportunity is being herd and no such order shall be passed after expiry of 30 working days.

#### 2.8. Documents & Records Control

The approved facility should maintain treatment records as per the format prescribed in **Appendix-XI** and the same should be serially numbered and duly signed by the qualified technical operator and preserved in the appropriate folder along with prints of data loggers for future reference and necessary verification during audit checks by PQ Officer.

#### 3.0. Operational Requirements

#### 3.1. Pre-treatment conditioning

The fruits before subjecting to VHT should be conditioned usually at a relative humidity less than 100 percent.

#### **3.2.** Treatment Procedure

All the vapour heat treatments will be carried out only at vapour heat treatment facilities approved by the PPA. Temperature sensors are used to determine the pulp temperature of the commodity under treatment, psychrometers are used to determine the existing relative humidity. The tips of the sensors are inserted in the centers of individual fruits and vegetables; a typical sensor placement in the crates is shown below:

Vapor heat processors employing a duct system, which delivers the vapor directly to each individual stack of commodity and which channels the air flow directly through the stack, may utilize the following sensor placement: Bottom, Middle, and Top Layers (A total of 9 sensors); Hot air duct—2 sensors (1 wet, 1 dry = psychrometer);

Vapor heat chamber equipment should be tested for correct functioning before each treatment. The accuracy of each temperature sensing element should be checked once a month during regular use with water at temperatures near the normal treatment temperatures. All sensors, after calibration corrections, must register the required temperature or above at the beginning of treatment. A 0.3 °C deviation is considered within the range of acceptable tolerance thereafter. This tolerance applies to the humidity check sensors as well as those for host temperature recordings. Extend the treatment time by an amount equal to any periods when specifications are not met. Chambers must be equipped with recording temperature and humidity indicators. Detailed records of each treatment must be kept. Final calibration values for each temperature sensor must be recorded for the port files.

#### 3.3. Post-treatment Cooling

The fruits and vegetables should be cooled immediately after VHT treatment. Allowing the fruit to simply stand for at least 30 minutes after removal from the VHT chamber in insect proofed and well-ventilated room would be helpful before the fruits and vegetables subject to pre-cooling process. The recommended storage temperature for mangoes is 55 °F to 57 °F (12.8 °C) at 85 to 90% relative humidity.

#### 3.4. Quarantine safeguards

Adequate safeguards must be maintained to prevent re-infestation or contamination of the treated commodities or their containers. Packing rooms must be fly-proof and only treated host material permitted therein.

#### 3.5. Official verification & Auditing

All certified VHT facilities shall be officially verified at least once during the beginning of fruiting season each year by a PQ officer to ensure proper functioning of essential equipments (Appendix-XII) and that the treatments carried out are as per protocols approved by PPA. Such official verification involves the auditing of treatment records and calibration records of temperature sensors, temperature and humidity recording and pressure testing equipments.

Appendix-I

Application for Certification of Vapour Heat Treatment Facility for Fruits &					
Vegetables					
1. Name of the Facility					
2. Location/Address of the Facility					
a. Street					
b. City/Town					
c. State					
d. Pin Code					
2. Name of the Manager					
a. Position					
b. Tel/Fax/E-mail					
3. Name of the technical operator					
a. Qualification					
b. Training/Experience					
4. Name & Address of Construction & Design					
<b>Engineer of the Facility</b>					
5. Whether the facility proposed is new one?	Yes /No				
(If so, enclose two sets of plans and drawings, for					
verification and approval of PPA)					
6. Whether the application is for certification	Yes/No				
of existing facility? (If so, submit plans & drawings					
for verification by PPA)  7. Any changes or improvements proposed	Yes/No				
including additions to existing facility. If so,	1 es/No				
submit revised plans and specifications					
(Additional treatment chambers/cold storage rooms;					
change of boiler/temperature recorder; replacement of					
sensors etc.).					
8. Whether the application is for renewal of	Yes/No				
certificate (If so, enclose original certificate for					
verification & endorsement by PPA)					
9. Whether information furnished in the	Yes/No				
datasheet enclosed with application is					
correct & complete in all respects					
<b>10. Particulars of payment of registration fee</b> a. Amount					
b. Draft No.					
c. Bank Name					
d. Branch					
11. Name/Signature of authorized person with					
date & seal					

For Official Use by Dte of PPQS						
Check list	Status		Scrutinized by	Action taker	n Applicant's Respons	se
Application complete	Yes	No				
Data Sheet complete	Yes	No				
Approved Plans & Yes No drawings (If applicable)						
Preliminary Yes No performance report (check sheet)						
Compliance Yes No Agreement						
Receipt of fees	Yes	No				
Final Action Taken (accepted/refuse			ed):	By:		

Appendix-II

Data Shee	t for Vapour	Heat Treat	ment (VHT)	Facility for Front	esh Fruits & V	egetables
1 Name of th	ne VHT Facilit	v				
	Address of Fac	•				
2. Location,	iddi ess of i de	IIIty				
3. Type of Fa	cility		Manu	al/Automated		
		rticulars (en		drawings (grou	ind/elevation))	
Office	Computer	Receiving	Operational		Cold Storage	Other
Space (m <sup>2</sup> )	Room (m <sup>2</sup> )	Area (m <sup>2</sup> )	Area (m <sup>2</sup> )	$(\mathbf{m}^2)$	$(\mathbf{m}^2)$	$(\mathbf{m}^2)$
Space (m)	rtoom (m )	med (m)	in cu (iii )	(111)	(111)	(111)
5. Total Carp	pet Area:		<u> </u>			
	s of Computer	and/or Mici	roprocessor fa	cility		
o Comp	-	00-10-10-10-10-10-10-10-10-10-10-10-10-1	- op: 0000001 10			
-	processor:					
	Surge Protecto	<b>r</b> :				
	ver Generator					
o Make.	•					
o Capac	city (HP):					
	g Equipment					
	0 <b>1 1</b>					
o Make.	:					
o Specif	fications					
9. Steam Boi	ler					
o Make.	•					
o Heat	Capacity:					
o <i>Type</i> :						
10. Air-wate	r vapour circu	lating syster	n			
o Pump	· ·					
	er of Pumps:					
o Circu	lation Capacity	y:				
11. Tempera	ture sensors (p	permanent)				
. Turn an						
o Type:						
	e/Supplier:					
	ber of Sensors.					
o Place	ment plan:					

<ul> <li>Make: <ul> <li>Type:</li> <li>Numbers:</li> </ul> </li> <li>13. Certified glass mercury thermometer for use during official performance testing</li> <li>Make <ul> <li>Range</li> <li>Numbers</li> </ul> </li> <li>14. Temperature Recorder <ul> <li>Type</li> <li>Make</li> <li>Model</li> <li>Duration of recording</li> <li>Frequency</li> <li>Accuracy</li> <li>Repeatability</li> <li>Range</li> <li>Ports</li> </ul> </li> <li>15. VHT chamber <ul> <li>Dimensions</li> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> </ul> </li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
<ul> <li>Type: <ul> <li>Numbers:</li> </ul> </li> <li>13. Certified glass mercury thermometer for use during official performance testing</li> <li>Make <ul> <li>Range</li> <li>Numbers</li> </ul> </li> <li>14. Temperature Recorder <ul> <li>Type</li> <li>Make</li> <li>Model</li> <li>Duration of recording</li> <li>Frequency</li> <li>Accuracy</li> <li>Repeatability</li> <li>Range</li> <li>Ports</li> </ul> </li> <li>15. VHT chamber <ul> <li>Dimensions</li> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> </ul> </li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
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<ul> <li>Model</li> <li>Duration of recording</li> <li>Frequency</li> <li>Accuracy</li> <li>Repeatability</li> <li>Range</li> <li>Ports</li> <li>15. VHT chamber</li> <li>Dimensions</li> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
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<ul> <li>Frequency</li> <li>Accuracy</li> <li>Repeatability</li> <li>Range</li> <li>Ports</li> <li>15. VHT chamber</li> <li>Dimensions</li> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
<ul> <li>Accuracy</li> <li>Repeatability</li> <li>Range</li> <li>Ports</li> <li>15. VHT chamber</li> <li>Dimensions</li> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
<ul> <li>Repeatability</li> <li>Range</li> <li>Ports</li> <li>15. VHT chamber</li> <li>Dimensions</li> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
<ul> <li>Range</li> <li>Ports</li> <li>15. VHT chamber</li> <li>Dimensions</li> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
<ul> <li>Ports</li> <li>15. VHT chamber <ul> <li>Dimensions</li> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> </ul> </li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
15. VHT chamber  O Dimensions Capacity No of compartments No of fruit trays/Size  16. Description of pre-cooling/cold storage facilities:  17. Name & signature of technical operator
<ul> <li>Dimensions</li> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
<ul> <li>Capacity</li> <li>No of compartments</li> <li>No of fruit trays/Size</li> <li>16. Description of pre-cooling/cold storage facilities:</li> </ul>
<ul> <li>No of compartments</li> <li>No of fruit trays/Size</li> <li>16. Description of pre-cooling/cold storage facilities:</li> <li>17. Name &amp; signature of technical operator</li> </ul>
<ul> <li>No of fruit trays/Size</li> <li>16. Description of pre-cooling/cold storage facilities:</li> <li>17. Name &amp; signature of technical operator</li> </ul>
16. Description of pre-cooling/cold storage facilities:  17. Name & signature of technical operator
17. Name & signature of technical operator
with date
18. Name & signature of Facility Manager
with seal & date

Appendix-III

Appendix-III						
COM	IPLIANCE AGREEMENT					
1. From	2. To					
	The Plant Protection Adviser Dte of Plant Protection Quarantine & Storage, N.H-Faridabad-121001					
3. Agreement related to	bootmont Equilities for Erock Equits 9 Vegetables					
4. Applicable Phytosanitary Reg	reatment Facilities for Fresh Fruits & Vegetables					
To meet the PPQ regulations	sultion Requirements					
5. I/we agree to the following:						
	ugh trained & qualified operator a of temperature sensors and maintain the facility in working					
<ul> <li>-to provide all testing equipments, labour and extend necessary assistance and cooperation to the nominated experts during the visit to the facility for undertaking performance test of the facility</li> <li>-to follow all safety requirements or procedures during treatment operations and abide by the</li> </ul>						
and conduct of treatment	quired by the Plant Protection Adviser in the planning, set-up ment of fruits and vegetables as per the treatment schedules rection Adviser					
-to maintain record of treatment for future verification	t operations as per format prescribed and preserve data logs					
1 •	ng PQ officers as per admissible rules for carrying out official ion/renewal of certification & auding.					
6. Date:	8. Name, Signature & Designation of Authorized Signatory:					
7. Place:	of Rumonzea Signatory.					
9. Signed in presence of						
(Name//Signature of PQ officer)						
Designation						
10. Approved by						
Plant protection Adviser						
to the Government of India Directorate of Plant Protection, Quarantine & Storage						
N.H-IV, Faridabad-121001						

Appendix-IV

Appendix-1V  Check Sheet for Preliminary Evaluation/Testing of the Vapour Heat Treatment							
	Facility for Fresh Fruits & Vegetables						
S. No.	Evaluation/Testing of Activity	Status (Yes/No/NA)					
1.	Location, construction & design of facility as per safety norms and as per regulations of local authority						
2.	Engineering plans and drawings are approved by the PPA (applicable for new facility)						
3.	Assured supply of potable water and chlorination of water at appropriate levels (50-200 ppm) to prevent microbial contamination						
4.	Compliance with minimum requirements of certification as per this standard						
5.	Electrical wiring through out the facility meet the safety norms and regulations of local authority including earthing and PVC conduiting						
7.	Control panels/Electric Meters etc., are adequately weather protected  Computers/microprocessors are located in air-conditioned to maintain accuracy and reliability and installed with surge protectors/UPS						
8.	Support of Diesel generator to have uninterrupted power supply						
9.	Fruit sizing equipment installed						
10.	Steam boiler with adequate water heating capacity and thermostatic controls and performance testing						
11.	Installation of vapour heat treatment chamber with vapour inlets						
11.	Installation of air and water vapour circulating system and checking						
12.	Installation of permanent RTD temperature sensors in the chamber						
13.	Installation of PID Controller and testing the performance						
14.	Installation of strip chart recorder/data logger and performance testing						
15.	Installation and checking of alarm or other safety system						
16.	Insect-proof screening of doors/windows/ventilators to exclude fruit flies						
17	Name and Signature of Technical Operator with date						
18.	Name and Signature of Facility Manager with seal and date						
19.	Verified by (Name/Signature/Designation of Expert/Officer of Dte of PPQS) with date						

Appendix-V

		Appendix- v		
Directorat	on Quarantine & Sto	orage R	ecord No:	
	N.H.IV, Farida	aDaG-121001	D	ate:
Instruction	on and Workshe	eet for Calibrating	g Portable To	emperature Sensors
1. Name of Facil				-
2.Location/Addr	ess of facility			
3.Name of Facili	ty Manager			
4. Name of the p	erson calibrating the	e sensors		
		Calibrating instru		
treatment tanks are a	as follows	emperature sensors that w	•	
near 'dry'	end of each sensor	-	_	of duct tape or tag and attach them
in close pro	eximity to the bulb of such that the mercury thermon	ubmersible certified glass	mercury thermome	perature range of 46.1°C - 48.9°C eter. Both must be submerged to e sensors should read one tenth of
		rom each portable sensor a		ermometer in succession. Calculate
O If the temp thermomet Any sensor	erature shown by the po er, then this sensor cons	ortable sensor falls within sidered to be within tolera blerance limit do not meet	0.3°C of the tempe nce limit and may	rature shown on certified mercury be used in the performance test. ccuracy and should not be used and
	P.	Temperature record	ings in <sup>0</sup> C	
Portable	Sensor Reading	Thermometer	Difference	Remarks
Sensor No.		Reading		

#### Appendix-VI

Directorate of Plant protection Quaran N.H.IV, Faridabad-12100	0	Record No:	
11.11.1 v, Failuabac-12100	•	Date:	
Test of the Accuracy of the Permane Treatment Cha	ent RTD Sensors I amber/Pulp Senso	<b>-</b>	
1. Name of Facility			
2.Location/Address of facility			
3.Name of Facility Manager			
4. Name of person testing the sensors			
		Testing instructions	

The instructions for testing the accuracy of permanent RTD sensors installed in hot water treatment tanks, which are connected to a temperature recorder installed in control room, are as follows:

- O Calibrate all available portable sensors against the certified glass mercury thermometer (see appendix) standard
- Select the portable sensor that shows the least deviation from the certified mercury standard. This particular sensor will now be used as a tool for testing the accuracy of each of the permanent RTD sensors installed in the chambers/pulp sensors
- O The calibrated portable sensors are suspended in the vapour heat chamber or tied to a plastic stand at the same level of permanent RTD sensors fixed in each compartment of the chamber or inserted into pulp in level with pulp sensors.
- O Raise the temperature of vapour heat chamber to 46- 48<sup>0</sup>C by running the air-water vapour circulation system to ensure uniform distribution of air-water vapour. Plug the portable sensor into a hand held digital monitor and read the display. Compare this reading with display on the data logger or strip chart recorder in the control room (You may need an assistant for this purpose). Record the results from the chart on this format different temperature segment levels. Repeat the procedure for each permanent sensor in the vapour heat chamber
- O Decision: If the temperature shown on the display in control room matches the temperature shown on the hand held portable temperature monitor (as calibrated), then the permanent sensor in the chamber is acceptable. If the two temperatures do not match exactly, but are within 0.3 C, then this small amount of deviation is considered within tolerance limits. Any permanent sensor that fail this standard must be repaired or replaced;

Hand held digital temperature monitor					of Temperatu	
(Portable sense	or number:_	լ	(		)	
RTD sensor No/location (Fruit Tray Position).	Reading Obtained (°C) hand held	Correction factor (+ or -)	True reading ( <sup>0</sup> C )	Reading obtained ( <sup>0</sup> C) (in control room)	Difference between ( <sup>0</sup> C ) (4-5)	Remarks
(1)	(2)	(3)	(4)	(5)	(6)	(7)

Signature of per	son testing th	ne sensor with			

### Appendix-VII

Directorate of Plant protection Quarantine & Storage N.H.IV, Faridabad-121001									Date	e of Tes	t
	atual Dawf	'o <b>1110</b>	nas T	at fan	Vana	um II.	A Two	<del> </del>	4 Fasi	1:4	
	Actual Perf	ormai	ice 1	est for				aumen	ı Facı	піу	
Name of the Fa	cility					ocation	1				
Facility Manager											
Fruit variety te	sted:				S	tage of	Ripene	ess:			
Temperature a		st									
Thermostatic se			Tempe Steam	rature of	F	ruit pul	p		Amb	Ambient air	
Fruit Tray N  Readings taken at	specific times (1	minutes)	before c	alibration	adjustm	ent, if an	y. Use o	ne or two		sors per e	ach
compartment of ch Portable sensor	Calibration	level. In	0-1	1-2	2-3	3-4	5	30	60	75	90
No*	adjustment		0-1	1-2	2-3	3-4		30		13	
	· ·	Time									
		Temp									
		Time									
		Temp									
		Time									
7											
Time											
		Temp									
*use at least three											
Name & Signat	ure of Inspec	ting Of	ficer w	ith Date							

Appendix-VIII

Directorate	of Plant pro N.H.IV, F		ne & Storage Record No:			o:			
	, <b>-</b>					D	ate		
Sensor	Location I	Diagram, I	Truit V		and l	Pulp T	Temper	atures	
Name of the Facili			Tank No				Test Number		
			Instruc	ction					
Show sensor numbers (*) besides fruit pulp s									
Weight (g) of ten fruit. random	s selected at	Weight (g) of six largest fruits		Fruit pulp temperature (taken at random)		Net weight of a typical field crate of mangoes			
					Number of field crates per loaded basket				
Mean weight (g) Remarks		Mean wt (g)		Mean ten	ıр				
Kemarks									
NI OC	D 1' D	1,1 1 .	ı						
Name & Signature of I	Recording Perso	on with date							

Appendix-IX

Directorate of Plant protection Quarantine &	Report No:	
N.H.IV, Faridabad-121001		Date:
Official Performance Test Report for cer Facilities for Fresh Fr		
1. Name of Facility		
2. Location/Address of Facility		
3. Type of Facility		
4. Name of the Facility Manager (including telephone number, Fax etc)		
5. Dates of Inspection		
6 Test carried out by (Name & Designation of officers of Dte PPQS)	(i).	
	(ii).	
<ul> <li>7. Actual installation of facility is in line with eng (applicable in case of new facility) or no alterations since last performance test</li> <li>Yes</li> <li>No</li> <li>Not applicable</li> </ul>		
Comments		
8. Inspection of the heating, water circulation, and necessary safeguards (including screens, fans, locks)	•	
<ul><li>Secure and operational</li><li>Insecure and Non-operational</li></ul>		
Comments		
9. Calibration of portable sensors with certified glass	mercury thermon	neter (see Appendix-5)
<ul> <li>Sensor readings are within tolerance limits</li> <li>Sensor readings are outside tolerance limits</li> </ul>		

Comments
10. Test of Accuracy of permanent RTD sensors positioned in the tank (see Appendix-6)
o RTD sensors passed the test
o RTD sensors failed the test
Comments
11. Actual performance test of Vapour Heat Treatment Chamber (see Appendix-7 & 8)
o Passed the test.
o Failed the test
Comments
12. Remarks & Recommendations for Certification
Recommended for Certification
o Requires modifications
Not Recommended for Certification
Reasons for not recommendation
13. Signatures of expert/inspecting PQ officer with date
1

## Appendix-X



# Government of India Ministry of Agriculture Department of Agriculture & Cooperation

# Directorate of Plant Protection, Quarantine & Storage N.H-IV Faridabad-121001

सत्यमेव जयते	N.H-IV Faridabad-121001							
Certificate No.	Date of Issue:							
		Valid up to:						
Certificate of Approval of Vapour Heat Treatment Facility								
	oved for treating fr	ur heat treatment facility as described below has been esh fruits in line with the requirements of this standard and ed below:						
Date:								
Place		( Plant Protection Adviser to the Government of India						
<b>Description of Fac</b>	ility							
Name of facility								
Location/Address o	f Facility							
Type of Facility								
Capacity of Facility	7							
Terms & Condition	ns:							
the facility; 2. Any changes of Protection Adv. 3. The certificate prior to expiry 4. All the treatmer records/data lo 5. All the treatmer. 6. The certified from time to time.	or modifications or addiviser  shall be valid for a properties for treating fresh fruits and operations should by sheets are maintained ents should be performaticility should abide by me	at prominent place and available for verification during inspections to itions to the facility shall be made with the written approval of the Plant period of one year from the date of issue unless otherwise revalidated as the performed by a qualified operator of the firm and necessary treatment d for necessary verification and as per the schedules approved by the Plant Protection Adviser. The instructions and guidelines issued by the Plant Protection Adviser with the requirements and conditions stipulated in the Compliance						
Endorsements: Revalidated on Revalidated on Cancelled on Re-certified on Copy to:								

Appendix-XI

							T.R	. No:	
(Name & Address of Facility)					)		Dat	e:	
Treatment Record									
1. Name of the	1. Name of the commodity:								
2. Batch No:					3. Quantity	(Wt/No)	:		
4. Fruit Variet	<b>y:</b>				5. Stage of 1	ripeness:			
6. Destined to:					7. Port of sh	ipment:			
8. Treatment s	chedu	le:							
9. Distinguishi	ng ma	rks, if a	ny:		10. Contain	er partic	ulars:		
11 Tomm supton	4 .	40m4 of 4	· o a 4						
11.Temperatur					Envit mulm			Ambient	t ains
point:0C	eı		erature of :: <sup>0</sup> C		Fruit pulp:			Ambient <sup>0</sup> C	ı aır:
12. Temperatu	re rec			eatmen		rdings at	every		ervals made
through a data l							cvciy.	J IIIII IIIC	a vais illauc
Permanent		bration (	Location		Positi		Ti	me of	Temp
RTD Sensor	facto	r	(Ambient/F	ruit	(Top/Middle	/Bottom)		cord	in ${}^0\!\!\!\!\!\!^{T}$
No			pulp/Tray N	Vo)			(ST/I	$RT/ET^*$ )	
*ST: Start time; F	T: Rai	mp up tin	ne: and ET: 1	End Tir	 ne.				
STI Start time, I	1111111	пр пр ш	ic, una E11	Diru III					
Mean weight of	fruits	(in g)		Mear	number of fr	uits per	Mean	Net Wei	ght of fruit
Average of six		Average	of six		Average of si	-	tray		9
random fruits	1	large fru	its						
Name & Signat	ure of	the tech	nical opera	tor					
with date									

# Appendix-XII

# List of Essential Equipment for setting-up of Vapour Heat Treatment Facility

Equipment	Make	Specification
Temperature Recorder:	Strip chart type:	Type: Automatic recording type
	Honey Well DPR 3000 Version D4 (12 channel	Recording time: 12 hrs
	capability) or	Frequency of Recording: two min interval
	Data logger type:	Recording Type: Numerical print or pen line representing each channel
	Honey Well DPR 3000 Version D4 (12 channel type)	by colour, number or symbol
	type)	Accuracy: With in 0.3°C
		Repeatability: With in 0.06°C
		Measuring range: between 37.8°C and 54.4°C
Chart paper		Scale: <sup>0</sup> C
		Scale deflection: 5 mm for each 1°C
		Subdivisions: one tenth or one twentieth of a degree in the range
		of 45°C to 47.8°C
		Chart speed: 2.5 cm for every 5 min
		Chart length: upto 12 hours in case of continuous flow treatment
		or sufficient for one entire treatment in batch type system
PID Temperature		
Controller (Thermostat)		
Temperature Sensors	Cooper Instruments Corporation model TM	Platinum 100 Ohm resistive thermal detectors (RTD) sensors.
_	99A or TC100A or equivalent	The sensor units located within the distal 2.54 cm of the sensor
	_	rod and sensor shall have an outer sheath of 5.4 mm in diameter
		or less
Portable sensors or probes	Cooper Instruments Corporation model TM	Thermistor or thermocouple sensors each with its own flexible
-	99A or TC100A or equivalent	cord at least 30 cm
Portable temperature	Cooper Instruments Corporation model TM	12 channel type, which can read to the nearest one tenth of a
monitor	99A or TC100A or equivalent	degree
Certified Centigrade	-	The thermometer shall be accurate to 0.1°C and will cover the
glass-mercury		range between 45°C and 47.8°C
thermometer		
Constant temperature		

Hot-water bath	
Steam Boiler	Oil-fired, gas-fired or combination, A boiler used for the purpose of heating the water in a two-tank batch system must have an output rating of approximately 1,000,00 BTU, or 30 horsepower
Diesel Power Generator	
Air-Water vapour Mixing	
Unit & circulation system	
Vapour Heat Treatment	Made of Stainless steel fitted with steam inlets of appropriate
Chamber	size for holding the fruits in trays
Fruit sizing/grading	Roller type sizing equipment, automatic
equipment	
Safety Alarm equipment	This system may be an audible noise (such as a horn, buzzer, or bell),
	or a highly visible light, attached to a timing device located on the
	equipment that indicates time and temperature.
Fruit Trays	Stainless steel perforated trays for stacking of commodity in
	treatment chamber
Portable balance	For weighing individual fruits
Water Circulation Pump	
Computer/Microprocessor	